

Agenda

Meeting: Audit Committee

**Venue: Brierley Room, Brierley Building,
County Hall, Northallerton, DL7 8AD**

Date: Friday 21 June 2019 at 1.30 pm

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

Business

1. **Apologies for Absence**
2. **Minutes of the meeting held on 7 March 2019** (Pages 5 to 13)
3. **Any Declarations of Interest**
4. **Public Questions or Statements**

Members of the public may ask questions or make statements at this meeting if they have given notice (including the text of the question/statement) to Ruth Gladstone of Democratic Services (*contact details at the foot of page 1*) by midday on Tuesday 18 June 2019. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);

- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

5. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services)
(Pages 14 to 16)
6. **North Yorkshire County Council and North Yorkshire Pension Fund – Audit of Accounts 2018/19 – Audit Progress** – Verbal update from Deloitte (External Audit)
7. **Draft Statement of Accounts 2018/19** - Report of the Corporate Director – Strategic Resources
(Pages 17 to 24
Draft Statement of Accounts circulated as a separate booklet)
8. **Internal Audit Work / Internal Control Matters for the Children and Young People’s Services Directorate:-**
 - (a) Report of the Head of Internal Audit
(Pages 25 to 38)
 - (b) Report of the Corporate Director – Children and Young People’s Services
(Pages 39 to 62)
9. **Corporate Governance** - Report of the Corporate Director – Strategic Resources
(Pages 63 to 72)
10. **2019/20 Internal Audit Plan** – Report of the Head of Internal Audit
(Pages 73 to 91)
11. **Annual Report of the Head of Internal Audit**
(Pages 92 to 108)
12. **Annual Report on Partnership Governance 2018/19** - Report of the Assistant Director (Policy, Partnerships and Communities)
(Pages 109 to 125)
13. **Procurement and Contract Management Update** - Report of the Corporate Director – Strategic Resources
(Pages 126 to 162)
14. **Audit Committee Programme of Work 2019/20**
(Page 163)
15. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)
County Hall

Northallerton

Notes:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance.

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)						
	<i>Councillors Names</i>				<i>Political Group</i>	
1	ARTHUR, Karl				Conservative	
2	ATKINSON, Margaret		Vice-Chairman		Conservative	
3	BAKER, Robert				Conservative	
4	CLARK, Jim				Conservative	
5	HUGILL, David				Conservative	
6	LUNN, Cliff		Chairman		Conservative	
7	MACKAY, Don				NY Independents	
8	WEBBER, Geoff				Liberal Democrat	
Members other than County Councillors (Non-voting) (3)						
1	PORTLOCK, David					
2	MARSH, David					
3	GRUBB, Nick					
Total Membership – (11)				Quorum – (3) County Councillors		
Con	Lib Dem	NY Ind	Labour	Ind	Total	
6	1	1	0	0	8	

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BACKHOUSE, Andrew	1	BROADBANK, Philip
2	COOPER, Richard	2	
3	THOMPSON, Angus	3	
4	PARASKOS, Andy	4	
5	PATMORE, Caroline	5	
NY Independent			
	<i>Councillors Names</i>		
1			
2			
3			
4			
5			

The term of appointment of Independent Members to the Audit Committee shall be four years from 31 July during the year which follows a County Council election in order to provide consistency during the period for production, and subsequent approval of, the Statement of Final Accounts.